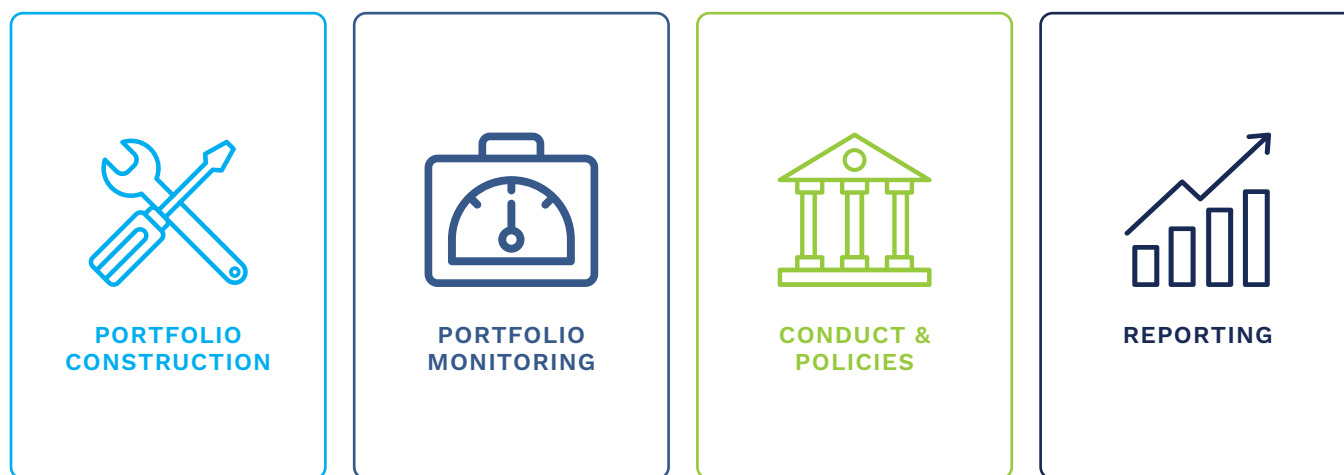


Solutions for Regulatory Compliance

Reduce the costs, complexity, and exposure of regulatory compliance with seamless access to best-in-breed data, technology, analytics, and thought leadership.



GAIN INSIGHT FROM COMPREHENSIVE ANALYTICS

Meet today’s increasingly complex regulatory requirements using FactSet’s industry-leading suite of multi-asset class portfolio and risk management analytics and services. Measure, manage, and report on risk such as credit, market, liquidity, counterparty, and more for regulators and internal stakeholders. Aggregate exposures to companies, securities, countries, sectors, and industries. Gain insight into your Value at Risk, stress test the impact of market movements and extreme events, and evaluate ex-ante and ex-post risk analytics. Leverage FactSet’s fixed-income calculation engine to derive more than 200 calculations, including partial durations, convexity, spreads, and yields.

RELY ON FACTSET’S REGULATORY DATA MANAGEMENT

Take advantage of FactSet as a single source for your regulatory reporting needs and alleviate the challenges of managing multiple data sources. Lower your total cost of ownership with FactSet’s highly connected, enterprise-wide data management solution, which enables you to easily organize and optimize your firm’s content assets. Link disparate content sets to aggregate exposures to a security, issuer, industry, ultimate parent, or other key items for regulatory reporting and BCBS 239 compliance. Access more than 8,000 essential security and entity reference data items like the Legal Entity Identifier, NACE, and CIC codes to facilitate compliance with the requirements of Solvency II, N-PORT, UCITS and many others. Rely on broad coverage and high-quality data to ensure compliance with look-through requirements.

FactSet's comprehensive solutions combine best-in-breed data, technology, analytics, and thought leadership to help you comply with major global regulations.



TAKE ADVANTAGE OF FLEXIBLE DELIVERY OPTIONS

Streamline your reporting obligations and comply with the reporting requirements of sustainable regulatory reporting, liquidity exposures, derivative exposures, N-PORT, and more with FactSet's flexible, automated data delivery solutions. Whether you prefer raw data or a PDF report, FactSet provides information in the format that meets your needs.

EXPERIENCE THE FACTSET DIFFERENCE

Gain powerful solutions that help you organize data and information, distribute it across your firm, and manage its continual evolution to satisfy the needs of multiple workflows. Leverage our core strengths, data integration, and management, so you can maintain and incorporate data without sacrificing speed or flexibility. With decades of experience integrating databases and a deep understanding of the importance of quality assurance processes, FactSet provides the highest quality data in the marketplace. Our service model provides around-the-clock support, 365 days a year, to address everything from production issues and content questions to additions or modifications to your feed.

TRACK YOUR ENGAGEMENT MANAGEMENT

Stay compliance-ready with the ability to provide regulators and investors a clear view into your research process with FactSet's Research Management Solutions (RMS). RMS provides a secure, fully integrated, centralized repository for your firm's notes and ideas and can help you comply with the engaged management aspects of regulations like SFDR, Shareholder Rights Directive II, and MiFID II.

Use our solutions and our relationships with leading Regtech firms to meet your regulatory requirements or to enhance your services to asset owners and asset managers.

LEVERAGE FACTSET'S ROBUST REGULATORY SOLUTIONS

- **Solvency II:** Access the most comprehensive enrichment of the Tripartite Template in the market and a dedicated team of regulatory specialists focused on maintaining key metrics for Solvency II reporting, including timeliness, accuracy, and completeness.
- **Sanctions:** Screen through a list of sanctioned entities and their subsidiaries to comply with 50% rules. Use supply chain and ownership data to cross-check against companies that may be interacting with sanctioned entities. Fully comprehend risk exposure and good governance when investing in non-sanctioned companies and reduce the risk of indirectly owning a sanctioned security by concordancing mutual fund and ETF constituents.
- **N-PORT:** Leverage FactSet's robust infrastructure and client portfolio integration service to achieve cost-effective, reliable solutions to populate Parts B & C with Fixed Income Analytics, rule 18f-4 derivatives exposures, and liquidity exposures and buckets aligned with Rule 22e-4. FactSet provides its Form N-PORT solution directly to funds and to fund servicers seeking to expand their offerings for fund managers.
- **Liquidity Risk Management:** Perform multi-asset liquidity stress testing within FactSet to meet compliance with liquidity requirements across regimes such as EU, Canada, U.S., Singapore, Australia, and Japan. Efficiently mitigate risk by running historical and custom stress-testing scenarios on your portfolios.
- **SFDR/EU Taxonomy:** Access FactSet's industry-leading ESG solutions to bring continuity and context to sustainability-related scores, issues, and opportunities. Build a seamless workflow from portfolio construction and analysis all the way to risk and reporting disclosures (including ESG regulatory requirements as outlined in the SFDR, EU Taxonomy, and Shareholder Rights Directive II).